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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/588,171	01/04/2007	Peter Joseph Ayre	CU-4989 EJC	5161
26530 LADAS & PAR	7590 07/09/200 RRY LLP	8	EXAMINER	
	ICHIGAN AVENUE		LAVERT, NICOLE F	
SUITE 1600 CHICAGO, IL 60604			ART UNIT	PAPER NUMBER
			3762	
			NOTIFICATION DATE	DELIVERY MODE
			07/09/2008	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

	Application No.	Applicant(s)
	10/588,171	AYRE ET AL.
Office Action Summary	Examiner	Art Unit
	NICOLE F. LAVERT	3762
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with the o	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING I - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailine earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION  .136(a). In no event, however, may a reply be tilt  d will apply and will expire SIX (6) MONTHS from te, cause the application to become ABANDONE	N. mely filed the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
Responsive to communication(s) filed on 31 and 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This action is <b>FINAL</b> .  3) ☐ Since this application is in condition for allowed closed in accordance with the practice under	is action is non-final. ance except for formal matters, pro	
Disposition of Claims		
4) Claim(s) 1-5 and 7 is/are pending in the appli 4a) Of the above claim(s) is/are withdra 5) Claim(s) is/are allowed.  6) Claim(s) 1-5 and 7 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/	awn from consideration.	
9)☐ The specification is objected to by the Examir	ner.	
10) ☐ The drawing(s) filed on 31 March 2008 is/are:  Applicant may not request that any objection to the Replacement drawing sheet(s) including the corre  11) ☐ The oath or declaration is objected to by the E	a)⊠ accepted or b)⊡ objected t e drawing(s) be held in abeyance. Se ction is required if the drawing(s) is ob	e 37 CFR 1.85(a). ejected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:  1. Certified copies of the priority documer 2. Certified copies of the priority documer 3. Copies of the certified copies of the pri application from the International Burea * See the attached detailed Office action for a list	nts have been received. nts have been received in Applicat ority documents have been receiv au (PCT Rule 17.2(a)).	ion No ed in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail D 5)  Notice of Informal F 6)  Other:	ate

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#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-3 are rejected under 35 U.S.C. 102(b) as being anticipated by Sass (US 2002/0058978).

For **claim 1**, Sass discloses, a percutaneous lead assembly configured to supply electrical signals to a medical device implanted within a body of a patient {[0002] & (Fig 2, 10)}, said lead assembly comprising a flexible elongate member having a first portion adapted to remain external to the body of the patient, said first portion having a first diameter {[0026] & (Fig 1, 60) ;and a second portion joined to said first portion and adapted to extend through a hole in a skin layer of the body of the patient {[0025] & (Fig 1, 50)}, and wherein said second portion has a second diameter which is substantially smaller than said first diameter (Fig 1, 60 & 50).

In regards to **claim 2**, Sass discloses, the percutaneous lead assembly as claimed in claim 1 (Fig 2, 10) wherein said first portion includes a shielding layer {[0026] & (Fig 2, 64)}.

In regards to **claim 3**, Sass discloses, the percutaneous lead assembly as claimed in claim 1 (Fig 2, 10) wherein at least a segment of said second portion is covered with a textured surface {[0025] & (Fig 2, 52)}.

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## Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 5. Claims 4 rejected under 35 U.S.C. 103(a) as being unpatentable over Sass (US 2002/0058978) in view of Jarvik (US 5,904,646).

Sass shows all features of the instantly claimed invention as discussed above.

Sass fails to disclose the first and second portions of a lead assembly joined by connectors.

Jarvik et al. teaches a means of bringing electrical power across the skin to an implanted electrical device comprising an internal and an external connector used to connect the cable external to the patient to be connected to the internal cable implanted within the patient [(col 2, ln 22-26)

It would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Sass with the use of the external and internal connectors as taught by Jarvik in order to provide predictable results pertaining to connecting the external and internal leads in a way as to replace or change the external cable non-invasively in event the external cable is damaged (Jarvik, col 2, ln 22-26).

6. Claims 5 rejected under 35 U.S.C. 103(a) as being unpatentable over Sass (US 2002/0058978) in view of Jarvik (US 5,904,646).

Sass shows all features of the instantly claimed invention as discussed above.

Sass fails to disclose a percutaneous lead assembly including a lead restraint.

Jarvik et al. teaches a means of bringing electrical power across the skin to an implanted electrical device comprising mounting electrical connector in the form of a flange [(col 2, ln 27-34) & (Fig 1, 2)].

It would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Sass with the use of the resistant flange in order to provide predictable results pertaining to providing a robust pecutaneous lead assembly resilient to damage in the event of trauma (Jarvik, col 2, ln 31-34).

7. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sass (US 200/0058978) and Jarvik (US 5,904,646) as applied to claim 5 above, and further in view of Imran et al. (5,449,381).

Sass/Jarvik shows all features of the instantly claimed invention as discussed above.

Sass/Jarvik fails to disclose a flexible, elongated unshielded member that extends through a hole in a skin layer of the body.

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Imran et al. teaches a catheter, including a flexible, elongated and tubular member, which is placed beneath the skin and extends to the heart [(col 2, ln 37-42) & (col 4, ln 52-55)].

It would have been obvious to one of ordinary skill at the time of the invention to have modified Sass/Jarvik with the use of the flexible member placed beneath the skin as taught by Imran et al. in order to provide predictable results pertaining to implanting the device so the device can be utilized for electrically stimulating the body and delivering said electrical stimulation such as performing defibrillation and cardioversion of the heart (Imran, col 4, ln 59-63).

# Response to Arguments

- 8. Applicant's arguments with respect to claims 1-5 & 7 have been considered but are moot in view of the new ground(s) of rejection. See the above action.
- 9. Applicant's arguments, filed 31 March 2008, with respect to objections of the specification and the drawings in addition to the 35 U.S.C 101 rejections of claims 6 & 7 have been fully considered and are persuasive. Therefore, the above objections and 35 U.S.C. 101 rejections of the specification, drawings and claims 6 & 7 have been withdrawn.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to NICOLE F. LAVERT whose telephone number is (571)270-5040. The examiner can normally be reached on M-F 7:30-5:00p.m. (Alt. Fridays).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on 571-272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/George R Evanisko/ Primary Examiner, Art Unit 3762

/Nicole F. LaVert/ Examiner, Art Unit 3762